

PATENT APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231



Sir:

In response to the August 12, 1999 "Notice to File Missing Parts of Application" (PTO-1533) we received in connection with the following US patent application:

Applicants: **Ludvig et al.**

Title of application: **METHOD AND APPARATUS FOR ENCODING A USER INTERFACE**

Serial No.: **09/359,561** Filed: **July 22, 1999**

Attorney Docket No.: **533/168 CIP1**

We enclose the following material herewith:

- ☒ Declaration;
- ☐ Verified statement claiming small entity status;
- ☐ Verified English translation of the application;
- ☐ Our check in the amount of \$_____ to cover the basic filing fee of \$_____, additional claim fees of \$_____ and the surcharge under 37 CFR 1.16(e) in the amount of \$_____;
- ☒ Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application.

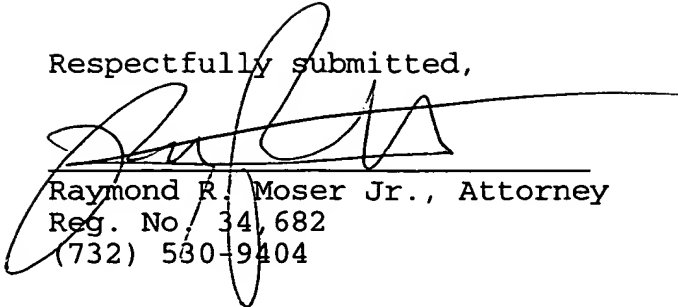
We have also enclosed the following item(s) in connection with this application:

- ☐ A claim to priority
- ☐ Priority Document;
- ☐ Other: Assignment of Application by
- ☐ Information Disclosure Statement, PTO 1449.

Please charge the Application Fee in the amount of \$380.00, additional claim fee in the amount of \$198.00, the surcharge under 37 CFR 1.16(e) in the amount of \$65.00, and any additional fees, as appropriate, to Deposit Account 20-0782. To facilitate this charge a duplicate copy of this letter is also enclosed herewith.

Respectfully submitted,

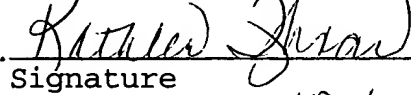
10-5-99


Raymond R. Moser Jr., Attorney
Reg. No. 34,682
(732) 530-9404

Thomason, Moser and Patterson
Attorneys At Law
2-40 Bridge Avenue
P.O. Box 8160
Red Bank, NJ 07701

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited
on October 6, 1999 1999 with the United States Postal Service
as first class mail, with sufficient postage, in an envelope
addressed to the Assistant Commissioner for Patents, Box Missing
Parts, Washington, D.C. 20231.


Signature

10-10-99
Date of signature

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☐ original
- ☐ divisional
- ☐ continuation
- ☒ continuation-in-part



INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

METHOD AND APPARATUS FOR ENCODING A USER INTERFACE

SPECIFICATION IDENTIFICATION

The specification of which:

- ☐ is attached hereto
- ☒ was filed on 7/22/99 , under Serial No. 09/359,561 , executed on even date herewith; or
- ☐ Express Mail No. _____ (as Serial No. not yet known)
- ☐ and was amended on _____ (if applicable)
- ☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____ .

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56, and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

- ☐ In compliance with this duty, there is attached an Information Disclosure Statement in accordance with 37 CFR §1.98.

PRIORITY CLAIM (35 U.S.C. §119)

I hereby claim priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America or of any United States Provisional Application(s) listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one

country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

- ☐ No such applications have been filed.
- ☒ Such applications have been filed as follows:

A. Prior foreign/PCT/provisional application(s) filed within 12 mos. (6 mos. for design) prior to this application, and any priority claims under 35 U.S.C. §119

<u>Country/PCT</u>	<u>Application No</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
U.S. Provisional	60/093,891	7/23/98	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

B. All foreign application(s), if any, filed more than 12 mos. (6 mos for design) prior to this U.S. application

Country:
Application No:
Filing date:

**PRIOR U.S. APPLICATION(S) FOR WHICH BENEFIT
UNDER 35 U.S.C. §120 IS CLAIMED**

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>		
		<u>Patented</u>	<u>Pending</u>	<u>Abandoned</u>
09/293,526	4/15/99	<input type="checkbox"/> patented	<input checked="" type="checkbox"/> pending	<input type="checkbox"/> abandoned
09/201,528	11/30/98	<input type="checkbox"/> patented	<input checked="" type="checkbox"/> pending	<input type="checkbox"/> abandoned
		<input type="checkbox"/> patented	<input type="checkbox"/> pending	<input type="checkbox"/> abandoned

POWER OF ATTORNEY

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Charles Lee Thomason	Reg. No. 31,431	Eamon J. Wall	Reg. No. 39,414
Raymond R. Moser Jr.	Reg. No. 34,682	R. Gale Rhodes Jr.	Reg. No. 19833
Joseph Pagnotta	Reg. No. 39,322	Wan Yee Cheung	Reg. No. 42,410
Kin-Wah Tong	Reg. No. 39,400		

Send correspondence to:

Thomason, Moser & Patterson
The Galleria -- 2d Floor
2-40 Bridge Ave.
Post Office Box 8160
Red Bank, NJ 07701

Direct telephone calls to:

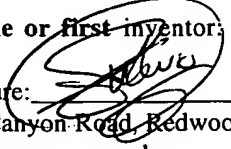
Raymond R. Moser Jr.
732-530-9404

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of **sole or first** inventor: Edward A. Ludvig

Inventor's signature: 

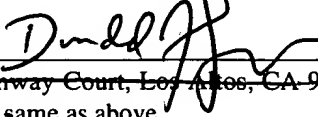
Date: 9/16/99

Residence: 831 Canyon Road, Redwood City, CA 94062

Post Office Address: same as above

Country of Citizenship: ~~U.S.A.~~ CANADA

Full name of **second** inventor: Donald F. Gordon

Inventor's signature: 

Date: 9/16/99

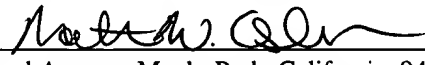
Residence: ~~170 Formway Court, Los Altos, CA 94022~~ 465 Gabilan St. #10

Post Office Address: same as above

Los Altos, CA 94022

Country of Citizenship: U.S.A.

Full name of **third** inventor: Nathan W. Osborn

Inventor's signature: 

Date: 9-22-99


Residence: ~~4150 Cloud Avenue, Menlo Park, California 94025~~

Post Office Address: same as above

350 Sharon Park Dr. #N201

Country of Citizenship: U.S.A.

Full name of **fourth** inventor: Sadik Bayrakeri

Inventor's signature: 

Date: 9-22/99

Residence: 733 Shell Boulevard, #104, Foster City, CA 94404

Post Office Address: same as above

Country of Citizenship: Turkey

Full name of **fifth** inventor:

Inventor's signature: _____

Date: _____

Residence: _____

Post Office Address: _____

Country of Citizenship: _____

Full name of **sixth** inventor:

Inventor's signature: _____

Date: _____

Residence: _____

Post Office Address: _____

Country of Citizenship: _____



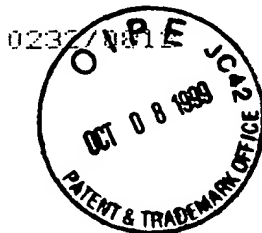
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY/ROCKET NOTULE
09/359,561	07/22/99	LUDVIG	533/168-CIP1

Best Available Copy

THOMASON MOSER & PATTERSON
THE GALLERIA
2-40 BRIDGE AVENUE
P O BOX 8160
RED BANK NJ 07701



NOT ASSIGNED

2711

DATE MAILED:

08/12/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 643.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 380 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 81 for 9 total claims over 20.

\$ 117 for 3 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

E. Burns

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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02 FC:205

380.00 CH
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